

Electronic Acknowledgement Receipt

EFS ID:	5496007
Application Number:	10711889
International Application Number:	
Confirmation Number:	5888
Title of Invention:	METHOD AND SYSTEM FOR BLOCK TRADING OF SECURITIES
First Named Inventor/Applicant Name:	Ross L. Stevens
Customer Number:	69603
Filer:	Steven Phillips/Faye Wigfall
Filer Authorized By:	Steven Phillips
Attorney Docket Number:	014033-000037
Receipt Date:	11-JUN-2009
Filing Date:	12-OCT-2004
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Electronic Funds Transfer
Payment was successfully received in RAM	\$2540
RAM confirmation Number	18108
Deposit Account	
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1	Extension of Time	037_EOT_061109.pdf	432505 4b6830d7ad164e48e09954833114de0 04164	no	2
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Warnings:
Information:

2	Request for Continued Examination (RCE)	037_RCE_061109.pdf	697141 0c28a9d2b3cb8a10ed4136492c978ef09 8880	no	3
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Warnings:
Information:

3		037_AmdwRCE_61109.pdf	510688 5eff0dc46d7e641a37a65ff2407630189c 2717	yes	12
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Multipart Description/PDF files in .zip description

Document Description	Start	End
Amendment After Final	1	1
Drawings-only black and white line drawings	2	9
Applicant Arguments/Remarks Made in an Amendment	10	12

Warnings:
Information:

4	Fee Worksheet (PTO-875)	fee-info.pdf	32541 9b6ed7972ad13f1b3c7b42979054b56a56 f1521	no	2
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Warnings:
Information:

Total Files Size (in bytes):	1672875
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.